



# COMPLIANCE 360° NAVIGATING PAKISTAN'S REGULATORY LANDSCAPE



**FEE:**  
**PKR 18,000**  
(Excluding Sales tax)

**21 APRIL, 2026 | 9 AM - 5 PM**

**VENUE: NIBAF PAKISTAN, KARACHI**

## COURSE OVERVIEW

This training provides a concise yet comprehensive overview of Pakistan's regulatory environment. The course highlights AML/CFT obligations, KYC and digital onboarding requirements, and customer risk profiling, while also addressing compliance risk management, operational controls, and regulatory inspections. It concludes with practical guidance on corrective actions and alignment with global standards such as FATF, enabling participants to effectively manage compliance responsibilities.

## COURSE CONTENTS

### Key Regulators

- State Bank of Pakistan (SBP): Central bank, primary regulator
- SECP: Capital markets, NBFs, insurance
- FMU: AML suspicious transaction reporting
- FBR: Tax compliance & reporting
- NAB: Anti-corruption enforcement
- Other LEAs

### Core Laws

- Banking Companies Ordinance, 1962
- Anti-Money Laundering Act, 2010
- Foreign Exchange Regulation Act, 1947
- Companies Act, 2017
- Payment Systems & EFT Act

### SBP Framework

- Licensing regime
- Prudential regulations
- Supervisory inspections

### AML & CFT

- Risk-based Approach/ Due Diligence Requirements
- Targeted Financial Sanctions Guidelines and Global Sanction Regimes
- STR filing

### KYC & Onboarding

- KYC requirements/Verification Checks
- Digital onboarding Framework
- Customer risk categorization

### Compliance Risk Management

- Risk identification & assessment
- Monitoring programs
- Compliance reporting

### Operational Compliance

- Branch compliance duties
- Segregation of roles
- Internal audit vs compliance
- Documentation standards
- SBP inspections & reviews
- Responding to observations
- Corrective action plans
- FATF compliance

## TARGET AUDIENCE

- Compliance Staff
- Branch Managers, Operation Managers, Relationship Managers, Sales Staff
- Internal Control Managers & Officers
- Staff engaged in AML/KYC/CDD Functions
- Account Opening Staff
- Audit Managers & Officers

## FACILITATOR

### Mr. Usman Ali Khan

Mr. Usman Ali Khan is a highly experienced and accomplished professional in the field of training and development. With over 17 years of expertise in Anti Financial Crime Compliance Controls and Governance, he brings a wealth of knowledge and practical insights to his training sessions. He is a Certified Anti-Money Laundering Specialist (CAMS) from ACAMS. He has worked with renowned international and national financial institutions and banks, holding senior and management-level positions.

## TRAINING MANAGER:

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